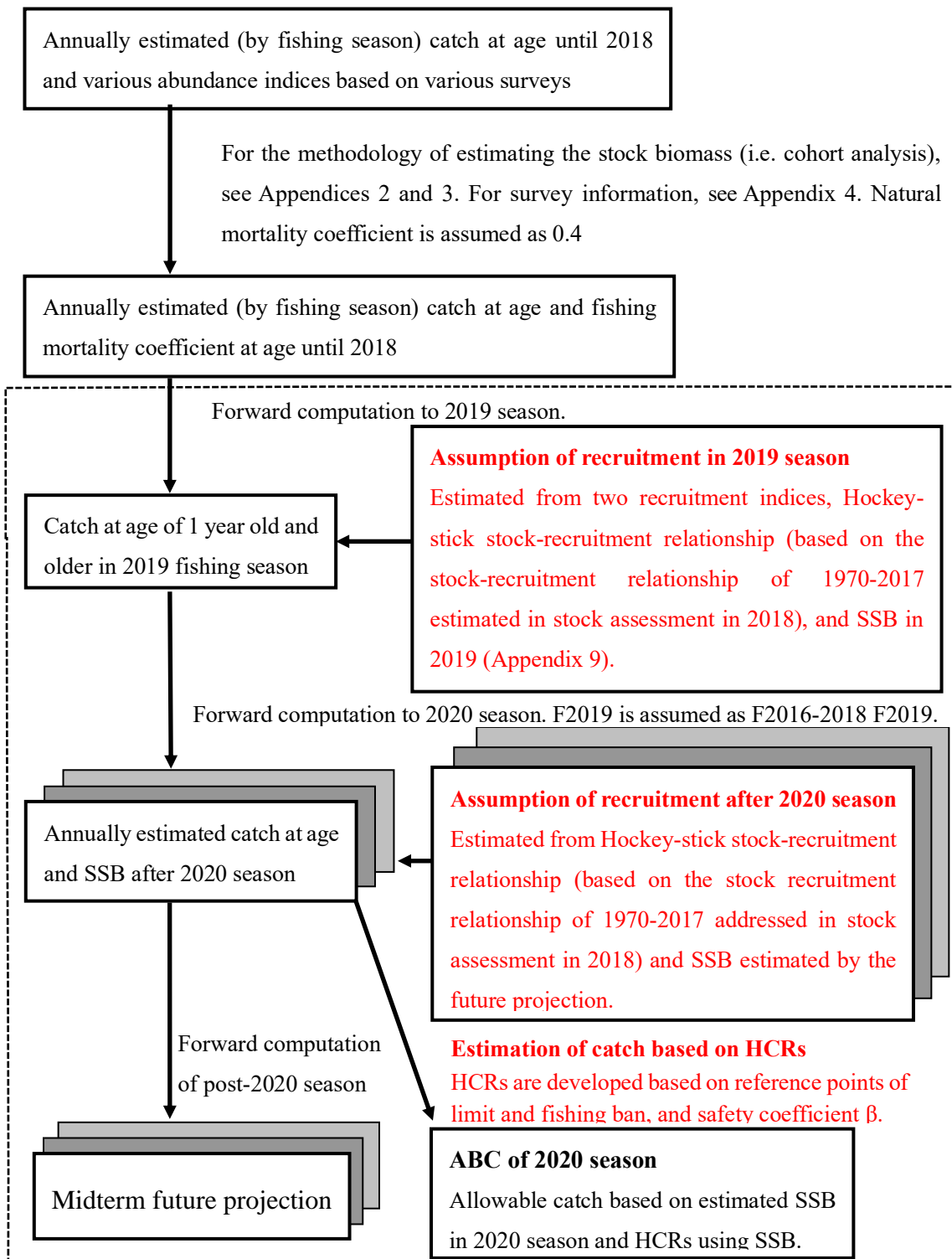


Appendix 1. The workflow of stock assessment



NOTE : Workflows in the dashed box are developed based on the discussions of stock-recruitment relationship and reference points (written in red) at the Committee of Stock Management Policy (<http://www.jfa.maff.go.jp/j/press/sigen/190612.html>, [in Japanese])

Appendix 2. Methodology of stock estimation

Catch at age, biomass, fishing mortality coefficient, and total catch are estimated by the cohort analysis using the equation by Pope (1972) (Appendix 6). Fishing season is defined as July to June of the following year. In the stock assessment, biological and fisheries events are assumed to occur in certain months: spawning in June, recruitment of young in July, and fishing in December, when is the middle of the fishing season. Natural mortality coefficient (M) is assumed as 0.4 year^{-1} based on Homma et al. (1987). Catch at age is estimated for major fisheries in the region between Miyazaki prefecture to the Pacific coast of Hokkaido prefecture as well as catches by foreign vessels. The age frequencies of catch by People's Republic of China and the Russian Federation after 2014 are assumed to be the same as that of Northern purse seine fishery from July to December, which operates near the area where Chinese and Russian vessels operate. Age of 6 and older are considered as 6+ years old based on the method by Hiramatsu (1999).

Catch at age is calculated based on equations (1) – (3),

$$N_{a,y} = N_{a+1,y+1} \exp(M) + C_{a,y} \exp\left(\frac{M}{2}\right) \quad \text{if } a \leq 4 \quad (1)$$

$$N_{5,y} = \frac{C_{5,y}}{C_{5,y} + C_{6+,y}} N_{6+,y} \exp(M) + C_{5,y} \exp\left(\frac{M}{2}\right) \quad (2)$$

$$N_{6+,y} = \frac{C_{6+,y}}{C_{5,y} + C_{6+,y}} N_{6+,y} \exp(M) + C_{6+,y} \exp\left(\frac{M}{2}\right) \quad (3)$$

where $N_{a,y}$ is the number of fish of age a in year y , $C_{a,y}$ is the catch of fish of age a in year y . The catch at age of the most recent year (2018 fishing season) is calculated based on equation (4) using the most recent fishing mortality coefficient $F_{a,2018}$ (i.e. terminal F).

$$N_{a,2018} = \frac{C_{a,2018} \exp\left(\frac{M}{2}\right)}{1 - \exp(-F_{a,2018})} \quad (4)$$

Fishing mortality coefficient F except for the terminal F are calculated based on the equation (5).

$$F_{a,y} = -\ln \left\{ 1 - \frac{C_{a,y}}{N_{a,y}} \exp\left(\frac{M}{2}\right) \right\} \quad (5)$$

In this equation, $F_{a,y}$ is the fishing mortality coefficient of fish of age a in year y . The F of plus group is assumed to equal to the F of the age group which is 1 year younger than the oldest age group observed (equation 6 from Hiramatsu (1999)).

$$F_{6+,y} = F_{5,y} \quad (6)$$

F of 0 to 5 years old for the most recent year ($F_{0,2018} - F_{5,2018}$) are estimated exploratory by tuning. Four indices that is predicted to represent recruitment and SSB are used for tuning (Appendix table 2-1). However, since the number of eggs used as tuning index includes the area IV (Kagoshima pref., Satsunan region), the number is slightly different from that shown in Figure 4-1. Ridge VPA (Okamura et al. 2017) is adopted to cohort analysis to stabilize the estimation of terminal F. In ridge VPA, terminal F is estimated with minimizing the function of negative loglikelihood with penalty term as following equation (7).

$$\text{Minimize} \quad (1 - \lambda) \sum_{k=1}^4 \sum_y \left[\frac{\ln(2\pi\sigma_k^2)}{2} + \frac{\{\ln(I_{k,y}) - \ln(q_k X_{k,y}^{b_k})\}^2}{2\sigma_k^2} \right] + \lambda \sum_{a=0}^5 F_{a,2018}^2 \quad (7)$$

Here, λ is the size of penalty in ridge regression and between 0 and 1. σ_k^2 is the variance of index k and $I_{k,y}$ is the value of index k in year y. q_k is the proportional constant for index k, $X_{k,y}$ is the objective value (recruitment and SSB) for index k in year y calculated from the cohort analysis, and b_k is a coefficient that represents non-linear relationship between index and estimated VPA values. For standardized CPUE for YOY caught in midwater trawl from Northwestern Pacific spring survey (season of northbound migration) ($k = 1$) and fall survey ($k = 2$), which are indices for recruitment, observation error is assumed to be equal ($\sigma_1^2 = \sigma_2^2$) to nonlinear coefficient ($b_k \neq 1$) and therefore, q_k and σ_k^2 are estimated in equations 8 and 9, respectively.

$$q_k = \exp \left\{ \frac{1}{n_k} \sum_y \ln \left(\frac{I_{k,y}}{X_{k,y}^{b_k}} \right) \right\} \quad (8)$$

$$\sigma_1^2 = \sigma_2^2 = \frac{1}{\sum_{k=1}^2 n_k} \sum_{k=1}^2 \sum_y \{\ln(I_{k,y}) - \ln(q_k X_{k,y}^{b_k})\}^2 \quad (9)$$

Here, n_k is the length of years used in tuning of index k. For standardized CPUE for dip-net fishery ($k = 3$) and number of eggs ($k = 4$), the relationship between SSB was found to be relatively proportional, therefore b_k is fixed as 1 and q_k is estimated in equation 8 and σ_k^2 is estimated as following:

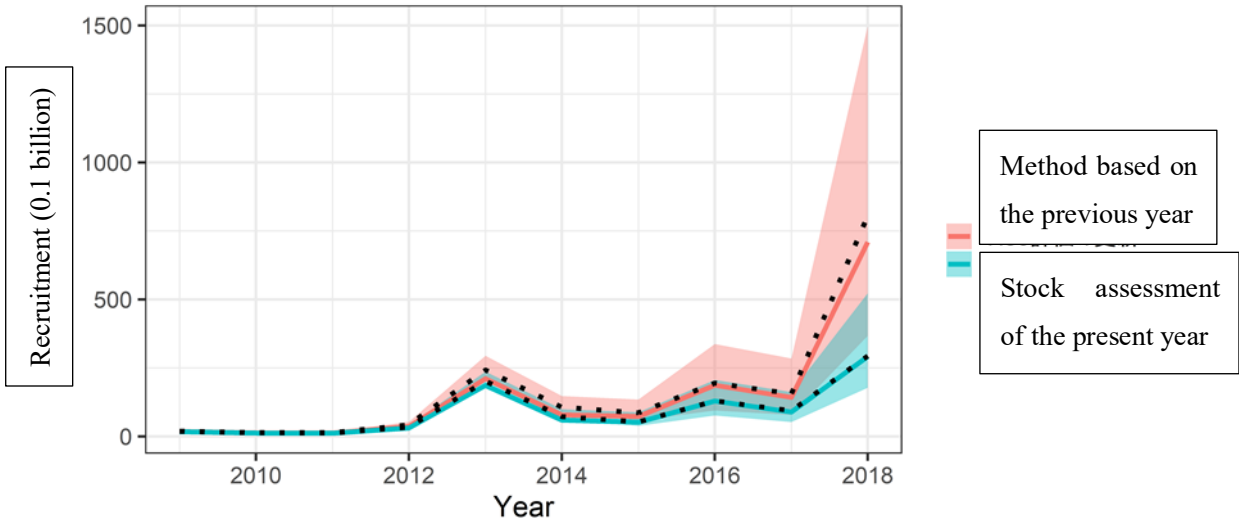
$$\sigma_k^2 = \frac{1}{n_k} \sum_y \{\ln(I_{k,y}) - \ln(q_k X_{k,y}^{b_k})\}^2 \quad k = 3, 4 \quad (10)$$

When the coefficient that represent nonlinear relationship b_k ($k=1,2$), which is standardized CPUE for spring and fall season is estimated based on the stock assessment of the previous year (Yukami et

al. 2019), the estimated recruitment is 70.92 billion, which is more than three times of the historical maxima, and therefore considered as not appropriate (Appendix figure 2-1). Therefore, for the stock assessment of the present year, the minimum retrospective bias (Mohn's ρ , Mohn 1999) of SSB for the past 8 years is estimated by applying various b_k with interval of 0.1 under assumption of $b_1 = b_2$. As a result, $\lambda = 0.44$, $b_1 = b_2 = 1.8$, and recruitment in 2018 fishing season was found to be 29.21 billion fishes (Appendix figure 2-1). When nonparametric bootstrap is performed with fixed b_k to compare two methods, the coefficient of variation for recruitment of 2018 fishing season is 0.43 and median of bootstrap is 80.59 billion for the method based on the previous year and assumed to be overestimated (Appendix figure 2-1). When the new method is applied, the coefficient of variation is 0.43 and median of bootstrap is 29.41 billion fishes (value of point estimation 29.21 billion, bias of +0.7%). Since uncertainty and bias are decreased compared to the method from the previous year, the method which b_k is selected based on retrospective bias is selected as the method for the present year (Appendix figure 2-1).

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Appendix figure 2-1. The estimated recruitment since 2009. Red line represents the method based on the previous year and blue line represents the stock assessment of the present year. Shaded area represents 80% confidence interval and dots represent the median of bootstrap.

Appendix table 2-1. Tuning indices and estimated values.

Index	①	①'	②	②'	③	③'	④
Target	N ₀		N ₀		SSB		SSB
2002	3.0	6.2					
2003	31.7	18.7			5.5	8.7	
2004	172.9	210.3			4.5	6.9	
2005	20.8	20.0	23.6	17.7	3.3	3.5	
2006	0.3	0.5	0.8	2.2	25.5	34.6	
2007	296.3	131.7	10.0	6.9	86.6	95.9	334.9
2008	53.3	26.4	9.7	7.9	45.5	34.7	81.7
2009	43.5	22.7	60.7	46.1	56.5	41.5	75.0
2010	26.3	21.0	16.9	17.2	54.5	50.4	164.3
2011	5.4	3.4	4.5	3.2	116.2	99.0	145.5
2012	58.6	37.6	18.2	19.9	120.5	86.6	271.7
2013	2073.9	1614.7	1419.4	1409.4	131.9	116.2	264.3
2014	20.1	16.4	95.1	69.0	110.9	144.9	152.4
2015	49.0	182.0	169.0	183.1	120.3	162.1	145.7
2016	889.4	1097.3	1339.5	1261.4	172.5	238.4	102.8
2017	736.6	639.6	645.0	385.1	81.5	143.2	370.9
2018	3259.9	2931.5	6237.1	5402.3	142.9	211.6	601.6
2019	92.6	115.0	261.0	261.1	142.4	152.2	745.7
q		4.07E-05		4.27E-05		0.19	0.49
b		1.80*		1.80*		1.00**	1.00**
σ		1.05		1.05		0.68	0.71

① CPUE of YOY caught by midwater trawl from the spring survey in Northwestern Pacific (number of fish / net / 60 min)

② CPUE of YOY caught by midwater trawl from the fall survey in Northwestern Pacific (number of fish / net / 60 min)

③ CPUE of dip-net fishery at Izu Islands waters (kg / person / hour)

④ Number of eggs in region I – IV (entire Pacific coast, by 100 billion)

①', ②', and ③' are the standardized value of ①, ②, and ③ used as tuning indices (For standardization method, see Appendix 3).

* Fixed as b = 1.80

** Fixed as b = 1.00

Appendix 3 CPUE standardization

For the present stock assessment, three stock indices are standardized; CPUE of YOY caught in the stock survey of northern migratory pelagic fishes in Northwestern Pacific and the stock survey of fall pelagic fishes in Northwestern Pacific (hereafter, northern migration CPUE and fall CPUE, respectively) and dip-net fishing CPUE at Izu Islands waters (hereafter dip-net CPUE). CPUEs of midwater trawl survey at Northwestern Pacific represent the recruitment indices and dip-net CPUE represents SSB index. Although tuning indices include the number of eggs, the value of egg count is not standardized since the efficiency of egg survey is expected to be constant owing to the survey design. In this document, (1) the standardization of northern migratory CPUE and fall CPUE and (2) the standardization of dip-net CPUE are explained.

(1) Standardization of northern migratory CPUE and fall CPUE

CPUE of YOY (individuals/hour) caught by midwater trawl survey in northern migration survey and fall survey in Northwestern Pacific are standardized. Despite both surveys are conducted since 2001, data from 2002-2019 and 2005-2019 are used for northern migration CPUE and fall CPUE, respectively, due to the coverage of survey regions. Data with no significant catch (east of 175W, south of 32.5N, and north of 45N) are excluded from the northern migration CPUE and likewise similar data are excluded from the fall CPUE data (east of 175E, south of 35N, north of 50N).

To analyze CPUE data, delta-GLM (Lo et al. 1992) is applied since CPUE data consist of continuous numerical value greater than 0. This method analyzes two different models that estimate the probability of catch and estimate the CPUE when fish is caught. For the probability of catch, bimodal distribution is used for the error distribution (logit link) and for CPUE, gamma distribution is used (log link).

For northern migration CPUE, year (categorical), region (categorical), interaction between year and region, SST during the survey (continuous) and its square, water temperature at 50m depth during the survey and its square, and interaction between SST and water temperature at 50m depth are used as explanatory variable to estimate CPUE. Likewise, for fall CPUE, year (categorical), region (categorical), interaction between year and region, SST during the survey (continuous) and its square, water temperature at 30m depth during the survey and its square, and interaction between SST and water temperature at 30m depth are used as explanatory variable to estimate CPUE. To set the regions, delta-GLM-tree (Hashimoto et al. 2019), which is an extended form of GLM-tree (Ichinokawa and Brodziak 2010) is used. The delta-GLM tree model estimates the region to increase statistical predictability based on an assumption that both regions in bimodal model and gamma model are the same. In the present stock assessment, the survey area is divided by 2.5 degrees and region is estimated until the BIC becomes the minimal value. A brute force search is performed for both bimodal and gamma distribution model and the model with the most minimal BIC is selected as the best model.

As a result, year, region, SST and its square, and water temperature at 50m depth are selected as explanatory variables that estimate the probability of catch of northern migration CPUE. Likewise, year, region, water temperature at 30m depth and its square are selected as explanatory variables that estimate the probability of catch of fall CPUE. For both cases, year and region are selected as explanatory variables that estimate CPUE when fish is caught. In addition, region is separated into 4 regions.

Stock index is calculated from the estimated result of the best model estimated above. First, CPUE is estimated for each value of variables; year, region, SST, water temperature at 50m depth (for northern migration), and 30m depth (for fall). Since SST and water temperature at 30 and 50 m depth are continuous variables, CPUE is calculated for various values between the maximal and minimal data. Second, averaged estimated CPUE is calculated for each year and region. Lastly, estimated CPUE for each year is weighted averaged by area of each region and used as a stock index. As a result, standardized CPUE and nominal (arithmetic mean) CPUE show the similar trend (Appendix figure 3-1). For the standardized northern migration CPUE, 2018 was the highest followed by 2013. For standardized fall CPUE, 2018 was the highest followed by two equally high values, 2013 and 2016. Meanwhile 2019 is significantly less than 2018 and considered as second lowest and third lowest since 2013 for northern migration CPUE and fall CPUE, respectively.

(2) Standardization of dip-net CPUE

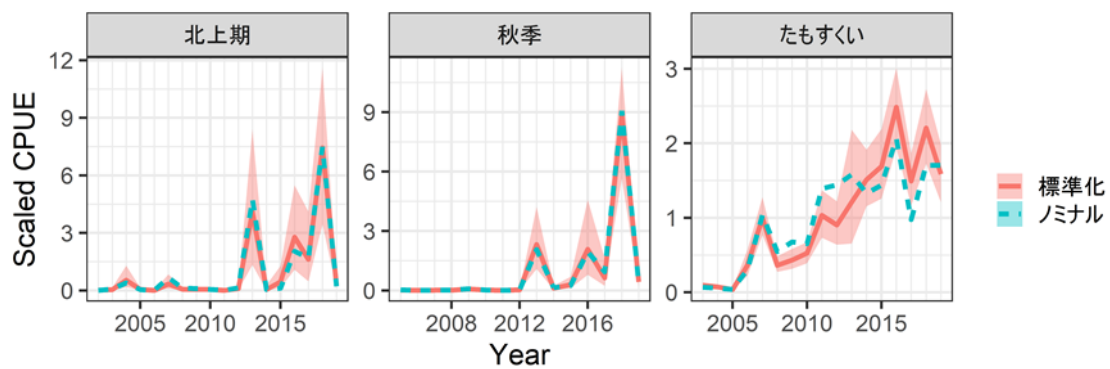
Following the assessment of the previous year, the dip-net fishery data from Kanagawa prefecture (2003-2019) and Shizuoka prefecture (2014-2019) are used. Since the dip-net CPUE data (kg / hour / person) are continuous numerical value greater than 0, delta-GLM (Lo et al. 1992) is applied. Like that of (1), bimodal distribution (logit link) and gamma distribution (log link) are used for error distribution. Of the available data (2003-2019), data collected during the spawning season, from January till July, are used.

To estimate CPUE, year (categorical), region (categorical), SST during the catch (continuous) and its square, month (categorical), vessel (categorical), and prefecture (categorical) are used as explanatory variables. Region is divided into 7 areas based on the data and longitude-latitude data. The best model is selected as a model with minimal BIC for each bimodal distribution model and gamma distribution model. As a result, year, region, month, and SST are selected as variables that estimate probability of catch and year, region, and month are selected as variables that estimate CPUE when fish is caught.

CPUE is estimated for every combination of variables and its average for each year is used as stock index. Although the standardized CPUE shows increasing trend since 2013 with relatively high level, the trend shows slight decrease in 2019 (Appendix figure 3-1).

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Appendix figure 3-1. Time series of Northern migration CPUE (left), fall CPUE (middle), and dip-net CPUE (right). Blue dotted line represents nominal CPUE and red line represents standardized CPUE. Values are normalized to have mean of 1 and shaded area represents 95% confidence interval.

Appendix 4 Overview of surveys and data sources

1) Larval and Juvenile fish survey at the transitional region

Conducted since 1996 with pre-survey in 1995 by National Research Institute of Fisheries Science (NRIFS) and Hokkaido National Fisheries Research Institute (HNFRI). The midwater trawl is hauled in May and July between Kuroshio extension and Kuroshio-Oyashio transitional area, where is a growing ground for larval and juvenile pelagic fishes. The survey observes the distribution of larval and juvenile fishes.

2) Stock survey of northern migratory pelagic fishes in Northwestern Pacific.

Conducted since 2001 with pre-survey in 2000 by Tohoku National Fisheries Research Institute (TNFRI) and NRIFS. The survey consists of two surveys; survey for direct estimation of pacific saury stock (by TNFRI) and stock survey of northern migratory pelagic fishes in NW Pacific (by NRIFS and TNFRI). Midwater trawl survey is conducted in May to July in waters between Kuroshio-Oyashio transitional area to Oyashio current region (between Pacific coast of Japan to 165W) by multiple research vessels to sample small pelagic fishes including pacific saury in migration to the northern waters. For this survey, CPUE of YOY are used as the recruitment index (Appendix table 2-1). In the present stock assessment, the predicted number of YOY, catchability (the proportion of stations where fish is caught) and length distribution are estimated for the area between Oyashio current and Kuroshio-Oyashio transition area (west of 169W with SST 12~21 degrees C) where is the major distribution of pacific mackerels (Figure 4-2)

3) Drift net survey in Eastern Hokkaido to Sanriku waters

Conducted since 1994 by Kushiro Fisheries Laboratory. The survey consists of four surveys operated in Eastern Hokkaido-Sanriku waters in June-October. The drift net is used to sample small pelagic fishes. The survey data is used to observe the distribution of pacific mackerels from YOY to adults and the CPUE is used for stock index.

4) Stock survey of fall pelagic fishes in Northwestern Pacific.

Conducted since 1984 by TNFRI as survey for improvement of fishery stock assessment systems. Drift net survey for pelagic fishes is conducted in the region in Eastern Hokkaido, Sanriku, and Joban region from August till November. The survey changes its name to distribution survey of pelagic fishes in Tohoku region and conducted in September and October. Since 2001, the survey gear has changed to midwater trawl and quantitative echo sounder as the target sample shifts to small pelagic fishes. Since 2005, the survey region has expanded to the eastern water of Kuril Islands. Starting from 2008, the survey is conducted by NRIFS as the stock survey of fall pelagic fishes in Northwestern Pacific and the survey has been observing the distribution of YOY in waters out of major fishing region with

CPUE of YOY being used as recruitment index (Appendix table 2-1). The recruitment index is calculated from the catchability of YOY by drift-net and midwater trawl surveys (Figure 4-2). Although the gears are different, the catchability of two gears are found to be indifferent based on the comparison of catchabilities in 2001 and 2002, when both gears were used concurrently. To reduce the effect of different station locations per surveys, the survey area is divided into five geographical sections. Each section is divided into Oyashio and warm current section, which comprises total of 10 sections. When the catchability of a section lacks data, a mean catchability of significantly related section is supplemented. The sum of the catchability of each section multiplied by weighting coefficient is used as a recruitment index in which the coefficient is adjusted to have consistency between the recruitment index and the temporal variation of recruitment. In this case, the recruitment consists of the estimated values from stock assessment in 2018 with data years ranging from 1984 to 2013, which uncertainty is expected to be low due to the application of fishing pressure throughout the ages.

5) Winter purse seine survey in Joban waters (Index for wintering juveniles)

Conducted by Ibaraki prefectural fisheries laboratory. The survey calculates index for wintering juveniles by taking a sum of mean catch per haul per day in 10 minutes grid of latitude and longitude in the wintering ground (Boso-southern Joban region: fishing ground of purse seine in 35-37N and west of 142E) (Figure 4-2). The season of the survey is defined as the time when the juvenile fish (< 25cm FL) exceeds 50% of the purse seine catch. Although the catch includes blue mackerels, the index can be considered as that for chub mackerel since 80-100% of juvenile mackerels wintering in the target regions are chub mackerels, according to the survey. However, the index tends to be low owing to lack of catch of YOY in the wintering ground since 2014.

6) Effective fishing effort and stock index of Northern purse seine

Conducted by Japan Fisheries Information Service Center (JAFIC). Fishing effort and stock index are calculated by the operational information of purse seine in the northern waters targeting mackerels (chub and blue). The data need to be treated with precaution since although the primary target is chub mackerel, the proportion of blue mackerels are substantial in certain years although the primary target is chub mackerel, according to JAFIC. CPUE is defined as catch per effort (number of hauls) (Figure 4-3). Stock index is defined as the sum of mean CPUE per region, where region is defined as fishing ground with grid of 30 minutes longitudinally and latitudinally (Figure 4-3). Effective fishing effort is defined as catch per mean density index (Figure 3-3). Mean density index is defined as stock index per number of regions.

7) Egg and larval survey

Conducted by joint venture institutions located on the pacific coast. The distribution of eggs of pelagic fishes are sampled and observed using modified NORPAC plankton net (335 micrometer mesh). Of the mackerel eggs, chub and blue mackerels are segregated since 2005 as species of eggs became identifiable (Figure 4-1, Appendix table 2-1).

8) CPUE of dip-net fishery

Conducted by Kanagawa prefectural fisheries laboratory (since 2003) and Shizuoka prefectural fisheries laboratory (since 2014). The dip net fishery primary catches chub mackerels and operates in Izu Islands waters where is a major spawning ground. The CPUE is calculated from the fishing record of vessels as catch per person per hour. The CPUE is used as an indicator of abundance and distribution of adult fishes in the spawning ground, which is used for SSB index (Appendix table 2-1)

Appendix 5. Fishing activity for chub mackerel by foreign vessels.

Pacific saury catches by foreign vessels, mainly Taiwanese, is increasing since 2000. China started Pacific saury fishing from 2012, it was possible to increase number of foreign vessels since then. It is important to monitor foreign vessels fishing activity for assessing Pacific saury stock accurately. While, Chinese vessels started to catch mackerels in the high sea of Northwest Pacific since 2014, to monitor its activity became important as well as Pacific saury.

Considering such situations, the trial to monitor fishing activity of foreign vessels by satellite remote sensing at night was started from 2014. The aim of project is to measure number of fishing vessels and to detect fishing grounds by extracting vessels from nightlight using remote sensing data of US satellite (Suomi NPP), and to determine fishery kinds by light intensity, EEZ boundary and surface temperature. Although only Pacific saury fishing vessels were target at first stage, the technique was also effective for fishing vessels targeting mackerels.

The method to extract and count fishing vessels targeting mackerels was developed and got good results, and reported the estimate of foreign vessel catch (Oozeki et al. 2018). However, still technical issue remained. Here, the distribution of fishing vessels using fishing light (including Pacific saury and squid fishing vessels) during May to October of 2014 to 2019 are shown in Appendix Fig. 5-1 as an example. It supposed that foreign vessels appeared from May and increased after July around the high sea near EEZ border. Fishing locations tended to shift offshore (Eastward) year by year, and concentrated around 160 degree East in August and September of 2019. Chinese purse seine and firelight dip net fishing vessels which targeted mackerels were recognized by eye watching observation by Japanese research vessels. It is expected that monitoring of foreign fishing vessels activity and catches may be able to be achieved by separating mackerel vessels from Pacific saury and squid vessels and counting number of it.

Reference

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Appendix 6: Details of cohort analysis results (Fishing season 1970-1981). From the top, catch at age (million fish), catch at age (thousand tons), F at age, abundance at age (million fish), abundance at age (thousand tons), and average weight at age.

年齢別漁獲尾数 (百万尾)												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	834	334	29	93	351	1,254	632	539	1,039	208	199	266
1歳	1,202	815	1,847	647	182	388	923	2,083	1,256	1,919	472	184
2歳	1,037	888	681	1,211	794	560	548	727	1,468	1,312	286	142
3歳	365	288	242	548	994	618	446	472	641	645	419	149
4歳	127	104	73	183	310	391	251	236	338	158	310	194
5歳	49	56	35	46	26	165	42	82	173	80	126	115
6歳以上	41	19	18	12	4	46	4	16	17	13	11	13
計	3,656	2,504	2,924	2,740	2,662	3,421	2,845	4,154	4,932	4,335	1,824	1,063

年齢別漁獲重量 (千トン)												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	63	21	2	9	25	57	48	48	101	15	12	28
1歳	226	165	417	152	43	71	142	388	328	420	77	39
2歳	299	342	231	346	262	186	159	222	452	416	95	46
3歳	147	159	111	194	387	265	202	213	255	278	188	65
4歳	68	84	43	81	150	189	133	133	174	85	169	122
5歳	32	60	26	28	18	93	28	55	104	52	85	84
6歳以上	30	23	15	11	4	35	3	13	15	9	11	14
計	865	855	845	822	889	897	715	1,071	1,428	1,275	637	398
漁獲割合	29%	23%	19%	20%	23%	26%	19%	23%	30%	39%	33%	22%

年齢別漁獲係数 (F) および%SPR												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	0.11	0.03	0.00	0.02	0.06	0.16	0.06	0.07	0.19	0.08	0.08	0.09
1歳	0.35	0.18	0.28	0.15	0.05	0.11	0.22	0.33	0.27	0.87	0.33	0.12
2歳	0.83	0.61	0.28	0.38	0.36	0.27	0.27	0.34	0.52	0.64	0.37	0.20
3歳	0.85	0.77	0.42	0.50	0.85	0.70	0.45	0.50	0.75	0.60	0.56	0.43
4歳	0.69	0.85	0.57	0.88	0.79	1.57	0.96	0.60	1.20	0.54	0.89	0.74
5歳	1.14	1.08	1.14	1.31	0.36	3.21	0.92	1.51	2.21	1.74	1.87	1.60
6歳以上	1.14	1.08	1.14	1.31	0.36	3.21	0.92	1.51	2.21	1.74	1.87	1.60
平均 (Fbar)	0.73	0.66	0.55	0.65	0.40	1.32	0.54	0.69	1.05	0.89	0.85	0.68
% SPR	12.09	16.77	26.59	22.11	23.66	22.42	26.13	22.86	15.46	10.53	19.92	28.67

年齢別資源尾数 (百万尾)												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	9,998	14,084	8,345	6,958	7,462	10,095	14,344	10,460	7,283	3,291	3,302	3,725
1歳	5,015	6,019	9,167	5,570	4,588	4,714	5,740	9,098	6,570	4,032	2,036	2,051
2歳	2,248	2,378	3,368	4,633	3,204	2,926	2,843	3,092	4,393	3,376	1,132	978
3歳	776	657	867	1,700	2,115	1,498	1,503	1,457	1,478	1,742	1,189	524
4歳	311	221	204	383	691	604	498	642	590	466	640	454
5歳	88	104	63	77	107	209	84	128	237	119	183	175
6歳以上	74	35	32	20	18	58	7	25	23	19	16	20
計	18,509	23,499	22,047	19,342	18,184	20,105	25,019	24,902	20,574	13,045	8,497	7,927

年齢別資源量 (千トン)、親魚量 (千トン)、再生産成功率 (RPS、尾/kg)												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	755	903	649	700	527	459	1,089	939	705	231	204	397
1歳	944	1,222	2,071	1,311	1,083	862	882	1,693	1,714	883	333	433
2歳	648	915	1,141	1,323	1,057	972	824	943	1,353	1,071	376	315
3歳	313	362	398	601	824	642	680	656	587	750	532	230
4歳	166	180	121	170	334	292	264	361	304	250	348	285
5歳	57	111	47	47	75	119	58	86	142	77	123	128
6歳以上	54	43	27	19	17	45	7	21	20	14	16	22
計	2,938	3,737	4,454	4,171	3,917	3,391	3,803	4,699	4,826	3,276	1,932	1,810
親魚量	657	807	741	981	1,296	1,164	1,188	1,341	1,401	1,337	1,079	737
RPS(尾/kg)	15.2	17.5	11.3	7.1	5.8	8.7	12.1	7.8	5.2	2.5	3.1	5.1

年齢別体重 (g)												
年齢\漁期年	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981
0歳	76	64	78	101	71	45	76	90	97	70	62	107
1歳	188	203	226	235	236	183	154	186	261	219	164	211
2歳	288	385	339	286	330	332	290	305	308	317	332	322
3歳	404	551	459	354	390	429	453	450	397	431	448	439
4歳	532	811	592	443	484	484	530	563	515	536	544	628
5歳	655	1,066	737	611	699	567	683	668	601	648	675	732
6歳以上	731	1,242	843	908	946	768	917	847	893	738	954	1,067

Appendix 6: Details of cohort analysis results (Fishing season 1982-1994). From the top, catch at age (million fish), catch at age (thousand tons), F at age, abundance at age (million fish), abundance at age (thousand tons), and average weight at age.

年齢別漁獲尾数 (百万尾)													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	123	250	549	378	183	72	67	34	29	53	297	96	128
1歳	324	284	544	398	1,336	316	106	24	6	8	11	957	98
2歳	301	440	358	253	555	352	253	53	6	11	13	240	98
3歳	160	225	208	190	276	170	253	71	11	8	12	39	28
4歳	81	76	90	75	79	41	26	77	6	5	7	5	5
5歳	70	44	46	38	28	19	4	4	4	2	10	2	2
6歳以上	13	23	18	21	9	6	2	1	1	0	8	2	2
計	1,072	1,343	1,812	1,352	2,465	976	711	263	63	87	357	1,341	361

年齢別漁獲重量 (千トン)													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	14	19	66	31	18	6	11	7	5	9	43	14	19
1歳	75	57	122	96	266	77	27	8	2	2	3	272	29
2歳	83	135	130	95	156	118	86	23	3	5	6	88	47
3歳	70	91	114	93	112	76	111	38	7	5	6	17	16
4歳	47	36	59	55	45	27	17	46	5	3	5	4	3
5歳	48	25	35	33	21	16	4	3	4	2	10	2	1
6歳以上	10	15	18	20	9	7	2	1	1	0	9	2	2
計	347	378	543	422	627	327	259	125	28	26	81	398	117
漁獲割合	20%	26%	30%	25%	43%	36%	46%	42%	13%	8%	12%	56%	35%

年齢別漁獲係数 (F) および%SPR													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	0.05	0.09	0.19	0.09	0.12	0.15	0.37	0.23	0.11	0.07	0.15	0.23	0.34
1歳	0.19	0.19	0.39	0.26	0.68	0.40	0.44	0.27	0.07	0.05	0.02	1.54	0.51
2歳	0.36	0.55	0.52	0.40	0.98	0.48	0.89	0.53	0.13	0.22	0.13	1.34	0.82
3歳	0.45	0.65	0.74	0.76	1.57	1.45	1.07	0.92	0.24	0.32	0.53	0.96	0.69
4歳	0.56	0.52	0.80	0.88	1.24	1.86	1.39	2.10	0.22	0.20	0.59	0.61	0.36
5歳	0.90	0.93	0.93	1.49	1.53	2.19	1.66	1.16	0.88	0.14	1.23	0.38	0.51
6歳以上	0.90	0.93	0.93	1.49	1.53	2.19	1.66	1.16	0.88	0.14	1.23	0.38	0.51
平均 (Fbar)	0.49	0.55	0.64	0.77	1.09	1.25	1.07	0.91	0.36	0.16	0.55	0.78	0.54
% SPR	28.22	22.71	15.41	20.35	6.54	12.79	8.32	16.27	46.24	51.66	34.84	2.80	11.66

年齢別資源尾数 (百万尾)													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	3,084	3,397	3,805	5,410	1,962	630	263	199	342	965	2,581	565	536
1歳	2,279	1,967	2,072	2,102	3,317	1,165	363	122	106	206	604	1,487	299
2歳	1,224	1,263	1,086	944	1,083	1,130	523	156	62	66	131	396	213
3歳	539	573	486	434	426	272	469	143	61	37	35	77	69
4歳	230	231	200	155	136	60	43	107	38	32	18	14	20
5歳	145	88	92	60	43	26	6	7	9	21	18	7	5
6歳以上	26	47	36	34	14	8	3	1	2	3	14	6	6
計	7,527	7,566	7,777	9,140	6,981	3,291	1,670	736	620	1,329	3,401	2,552	1,148

年齢別資源量 (千トン)、親魚量 (千トン)、再生産成功率 (RPS、尾/kg)													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	348	262	457	441	192	54	44	41	58	163	370	81	78
1歳	531	393	463	507	661	284	92	40	39	63	174	423	88
2歳	338	388	393	355	304	379	178	66	36	32	56	146	101
3歳	237	230	266	212	173	121	206	77	41	21	19	33	40
4歳	134	110	131	115	78	38	28	64	32	21	13	10	13
5歳	99	51	71	52	33	22	6	6	8	16	18	6	5
6歳以上	20	30	35	32	13	9	3	1	2	3	15	7	7
計	1,706	1,464	1,816	1,713	1,455	909	558	295	215	320	665	705	332
親魚量	567	514	595	496	371	343	314	175	97	74	87	114	105
RPS (尾/kg)	5.4	6.6	6.4	10.9	5.3	1.8	0.8	1.1	3.5	13.0	29.5	4.9	5.1

年齢別体重 (g)													
年齢\漁期年	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
0歳	113	77	120	82	98	86	168	207	170	169	143	143	146
1歳	233	200	223	241	199	244	255	325	365	305	288	284	294
2歳	276	307	362	376	281	336	341	426	582	488	424	368	476
3歳	439	402	547	489	407	446	440	537	661	585	529	430	578
4歳	583	475	656	741	572	644	654	599	828	654	749	705	661
5歳	681	576	768	855	755	838	886	814	954	790	990	943	896
6歳以上	758	645	993	943	947	1,112	1,066	1,034	1,101	957	1,114	1,115	1,116

Appendix 6: Details of cohort analysis results (Fishing season 1995-2007). From the top, catch at age (million fish), catch at age (thousand tons), F at age, abundance at age (million fish), abundance at age (thousand tons), and average weight at age.

年齢別漁獲尾数 (百万尾)													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	362	1,578	147	32	145	252	7	244	66	767	42	6	425
1歳	123	193	885	69	17	86	69	17	206	87	523	62	53
2歳	49	23	61	177	24	13	40	6	32	72	53	376	70
3歳	28	20	13	13	41	11	5	6	7	11	32	25	157
4歳	9	10	6	1	10	14	4	4	2	4	13	8	4
5歳	3	4	4	0	1	1	3	3	1	1	1	2	1
6歳以上	2	3	2	0	0	0	2	2	1	1	1	0	0
計	576	1,830	1,118	292	238	376	131	281	314	944	664	479	709

年齢別漁獲重量 (千トン)													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	38	186	22	5	24	40	1	27	8	101	5	1	51
1歳	50	50	254	22	5	31	24	6	48	24	165	22	17
2歳	23	10	26	79	12	6	18	3	12	41	25	199	33
3歳	17	11	7	7	25	6	3	4	3	8	18	16	84
4歳	7	6	4	1	8	8	2	2	2	4	10	5	3
5歳	3	3	3	0	1	1	2	3	1	1	1	2	1
6歳以上	2	2	2	0	0	0	3	2	1	1	1	1	0
計	141	269	318	115	77	91	53	47	76	181	226	245	188
漁獲割合	40%	38%	51%	40%	33%	41%	35%	20%	30%	24%	27%	33%	33%

年齢別漁獲係数 (F) および%SPR													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	0.50	0.59	0.46	0.25	0.43	0.91	0.03	0.33	0.16	0.27	0.08	0.02	0.38
1歳	0.90	0.72	1.12	0.52	0.26	0.65	0.93	0.12	0.66	0.41	0.37	0.20	0.27
2歳	0.68	0.51	0.69	0.96	0.44	0.42	1.01	0.22	0.46	0.67	0.63	0.66	0.47
3歳	0.77	0.89	0.87	0.39	0.80	0.46	0.37	0.55	0.52	0.37	1.01	0.96	0.88
4歳	0.63	0.95	1.15	0.18	0.77	0.95	0.37	0.60	0.41	1.11	1.48	0.96	0.44
5歳	0.61	1.08	2.54	0.19	0.44	0.14	0.72	0.82	0.44	0.74	1.06	1.15	0.30
6歳以上	0.61	1.08	2.54	0.19	0.44	0.14	0.72	0.82	0.44	0.74	1.06	1.15	0.30
平均 (Fbar)	0.67	0.83	1.34	0.38	0.51	0.52	0.59	0.49	0.44	0.62	0.81	0.73	0.44
% SPR	7.28	8.62	5.71	14.44	16.74	9.44	13.79	30.07	18.53	20.17	17.36	23.08	19.18

年齢別資源尾数 (百万尾)													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	1,126	4,321	489	176	504	514	276	1,071	545	4,001	666	418	1,636
1歳	254	459	1,604	207	92	219	138	179	518	312	2,054	412	275
2歳	120	69	149	351	83	48	77	36	107	179	138	949	226
3歳	63	41	28	50	90	36	21	19	20	45	61	49	328
4歳	23	19	11	8	23	27	15	10	7	8	21	15	13
5歳	9	8	5	2	4	7	7	7	4	3	2	3	4
6歳以上	4	5	3	0	2	3	6	4	3	3	2	1	1
計	1,601	4,922	2,290	796	798	854	541	1,327	1,204	4,551	2,943	1,847	2,482

年齢別資源量 (千トン)、親魚量 (千トン)、再生産成功率 (RPS、尾/kg)													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	119	510	74	29	85	81	38	121	68	527	78	57	198
1歳	103	119	461	67	28	80	48	63	122	87	649	149	86
2歳	57	31	64	157	43	20	34	17	40	102	66	501	106
3歳	39	22	15	26	55	18	13	11	10	33	35	31	176
4歳	19	12	7	6	18	16	9	6	6	7	16	11	9
5歳	8	6	3	2	4	6	5	5	3	3	2	3	3
6歳以上	4	4	3	0	2	3	6	5	4	3	2	1	1
計	350	705	628	288	235	225	153	228	252	763	849	752	579
親魚量	94	57	54	98	96	64	63	44	60	132	89	296	241
RPS(尾/kg)	12.0	75.4	9.1	1.8	5.3	8.1	4.4	24.5	9.0	30.2	7.5	1.4	6.8

年齢別体重 (g)													
年齢\漁期年	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
0歳	106	118	152	165	169	158	137	113	124	132	118	136	121
1歳	406	260	287	325	308	366	350	354	236	280	316	362	314
2歳	474	451	428	446	515	421	440	455	374	569	477	528	469
3歳	626	545	535	523	606	517	599	576	530	742	578	631	537
4歳	809	633	642	787	803	593	626	643	756	835	787	726	683
5歳	908	743	699	879	950	895	689	780	788	1,011	1,002	1,013	745
6歳以上	973	819	840	970	1,099	1,031	1,078	1,126	1,078	1,087	1,089	1,122	921

Appendix 6: Details of cohort analysis results (Fishing season 2008-2018). From the top, catch at age (million fish), catch at age (thousand tons), F at age, abundance at age (million fish), abundance at age (thousand tons), and average weight at age.

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	60	174	80	28	63	297	140	33	100	92	294
1歳	275	35	163	88	52	248	812	177	141	140	82
2歳	47	127	54	87	90	75	165	1,401	236	265	245
3歳	44	24	37	21	66	77	65	128	1,147	423	365
4歳	51	13	9	7	21	25	17	16	32	695	359
5歳	3	15	6	2	4	5	18	11	15	60	300
6歳以上	1	1	1	0	1	2	1	10	10	16	53
計	481	388	349	234	297	729	1,219	1,777	1,681	1,690	1,697

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	8	21	10	5	10	37	15	3	8	6	20
1歳	86	13	57	35	19	78	157	35	28	30	17
2歳	18	64	26	43	43	37	68	334	60	78	62
3歳	26	13	23	13	37	47	37	56	349	138	126
4歳	34	8	7	5	13	17	12	10	17	243	142
5歳	2	10	5	2	3	4	12	7	10	32	124
6歳以上	1	1	1	0	1	2	1	7	7	11	36
計	176	130	128	102	126	221	302	453	480	539	527
漁獲割合	36%	24%	19%	12%	11%	6%	8%	13%	13%	12%	9%

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	0.14	0.13	0.08	0.03	0.03	0.02	0.03	0.01	0.01	0.01	0.01
1歳	0.60	0.14	0.21	0.15	0.09	0.16	0.08	0.06	0.05	0.02	0.02
2歳	0.52	0.82	0.42	0.21	0.28	0.22	0.19	0.26	0.12	0.16	0.05
3歳	0.85	0.72	0.82	0.36	0.31	0.52	0.38	0.28	0.44	0.44	0.44
4歳	1.16	0.90	0.95	0.41	1.03	0.23	0.26	0.19	0.13	0.70	1.17
5歳	1.14	2.98	2.89	0.77	0.61	1.03	0.32	0.34	0.35	0.49	1.07
6歳以上	1.14	2.98	2.89	0.77	0.61	1.03	0.32	0.34	0.35	0.49	1.07
平均 (Fbar)	0.79	1.24	1.18	0.39	0.42	0.46	0.23	0.21	0.21	0.33	0.55
% SPR	14.62	23.36	26.49	42.12	40.66	40.33	49.60	45.05	45.73	37.09	37.88

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	566	1,769	1,266	1,161	3,097	18,632	5,992	5,211	12,958	8,927	29,212
1歳	749	330	1,043	783	755	2,025	12,247	3,902	3,466	8,604	5,909
2歳	141	277	193	566	453	464	1,154	7,544	2,470	2,208	5,653
3歳	95	56	81	85	308	230	249	639	3,910	1,463	1,263
4歳	91	27	18	24	40	152	91	114	323	1,682	635
5歳	6	19	7	5	11	9	81	47	63	190	558
6歳以上	2	2	1	0	2	4	3	41	42	50	99
計	1,649	2,480	2,610	2,625	4,665	21,516	19,818	17,498	23,233	23,124	43,329

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	78	212	159	210	482	2,295	628	490	1,049	613	1,958
1歳	234	125	366	308	282	635	2,373	778	690	1,858	1,212
2歳	54	139	95	276	217	227	474	1,796	633	654	1,441
3歳	56	31	49	52	169	141	143	279	1,191	479	436
4歳	61	16	13	17	25	102	63	72	175	587	251
5歳	4	13	6	4	8	7	53	29	40	101	231
6歳以上	2	1	1	0	1	4	3	31	29	36	66
計	490	538	689	868	1,185	3,411	3,738	3,476	3,807	4,327	5,595
親魚量	151	132	117	212	312	367	499	715	1,323	1,238	1,185
RPS(尾/kg)	3.8	13.4	10.9	5.5	9.9	50.8	12.0	7.3	9.8	7.2	24.6

年齢\漁期年	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
0歳	138	120	126	181	156	123	105	94	81	69	67
1歳	312	377	351	393	373	314	194	199	199	216	205
2歳	385	503	490	488	480	489	410	238	256	296	255
3歳	589	557	606	614	550	612	574	436	305	328	345
4歳	672	599	729	701	627	672	693	637	540	349	396
5歳	806	694	796	842	751	747	656	624	629	529	414
6歳以上	995	838	940	909	868	886	793	761	697	724	671

Appendix 7. The values of References, Stock status and Fishing intensity.

The estimated value of Biological reference points and results of cohort model.

Items	Values	Remarks
SBtarget	1,545,000 tons	SBmsy
SBlimit	562,000 tons	SB 0.6msy
SBban	67,000 tons	SB 0.1msy
Umsy	10%	Catch ratio at MSY
MSY	372,000 tons	
β	0.9	The constant multiplied to Fishing intensity to maintain stock certain level. In the case of $\beta=0.9$, the stock will increase more than management target at 2030.
SB2018	1,185,000 tons	SSB at 2018
U2018	9%	Fishing ratio at 2018
F2018/Fmsy	2.48	

*It is recommended that SBmsy=1,545,000 tons as SBtarget, SB0.6msy as SBlimit, and SB0.1msy as SBban, respectively at the stock assessment meeting in 2019.

*SBcurrent=1,185,000 tons estimated by cohort model is below SBtarget, but is above SBlimit and SBban. F2018 is above Fmsy (F2018/Fmsy=2.48), but U2018 is similar to Umsy.

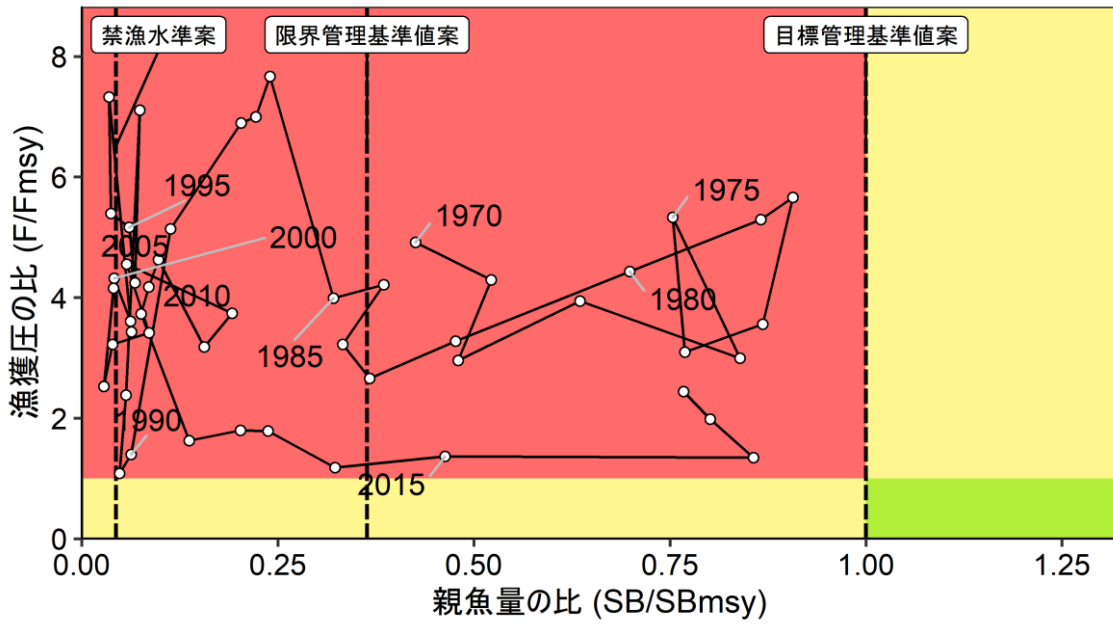
*The Kobe plot using SBtarget and Fmsy is shown in appendix Figure 7-1. Fishing intensity on the species has been over the level of Fmsy after 1970. The SSB has been low from SBtarget after 1970.

*The status of SSB and Fishing pressure are considered using Kobe plots. It is defined if SSB above SBtarget as “appropriate”, SSB below SBtarget and above SBlimit as “warning”, and SSB below SBlimit and above SBban as “rebuilt required”, and SSB below SBban as “fishery ban”.

For Fishing pressure, it is defined if it below Fmsy as “appropriate”, it over Fmsy as “over fishing”.

*SB2018 is below SBtarget and above SBlimit, then considered as “warning”. F2018 is over Fmsy, then considered as “over fishing”. The status of SSB is considered “increasing” from the transition of past five years (2014-2018).

Status of SSB	warning
Status of fishing pressure	Over fishing
Status of SSB transition	increasing



Appendix Fig. 7-2. Kobe plots of chub mackerel pacific stock.

Appendix 8. Estimations of catch under HCR.

The HCR is a rule which determine Fishing mortality and ABC level to maintain SSB above SBtarget. If the SSB decreased below SBlimit, fishing mortality was decreased until SBban along straight line. Fmsy should be multifield with β . The recommended HCR was shown in Appendix Fig. 8-1. For instance, it is shown in the case of $\beta=0.9$.

The desirable catch of 2020 was estimated by the projection following the HCR. The projection was made using forward cohort model and recruitment predicted by reproductive relation with SSB. Ten thousands of iteration was made for the estimation considering uncertainty of recruitments.

The catch of 2019 was assumed 794,000 tons predicted by the fishing pressure (F2016-F2018). The expected fishing pressure in 2020 by projection using SSB2020 was assumed fishing pressure to estimate expected catch in 2020.

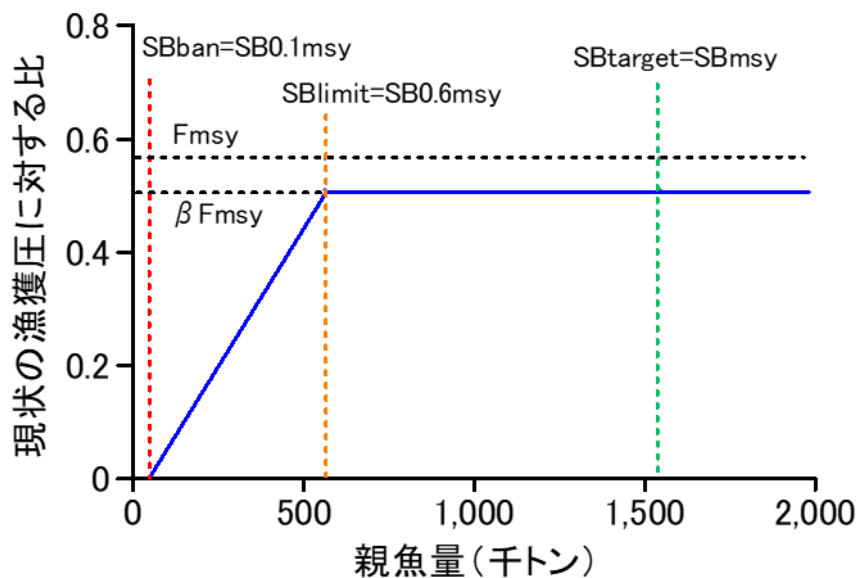
In the results of projection, expected catch in 2020 is 474,000 tons using $\beta=0.9$, and 523,000 tons assuming $\beta=1.0$. The predicted SSB in 2020 was 1,984,000 tons in average, and all estimation were over SBtarget.

SSB in 2020 (average of prediction) : 1,984,000 tons			
Items	predicted catch in 2020 (thousands tons)	(F/F2016-2018)	Fishing rate in 2020 (%)
Fishing pressure scientifically suggested			
$\beta=0.9$	474	0.50	7
Other suggested catch (using different β in HCR)			
$\beta=1.0$	523	0.55	8
$\beta=0.8$	424	0.44	7
$\beta=0.6$	323	0.33	5
$\beta=0.4$	218	0.22	3
$\beta=0.2$	111	0.11	2
$\beta=0$	0	0	0
F2016-2018	891	1.00	14

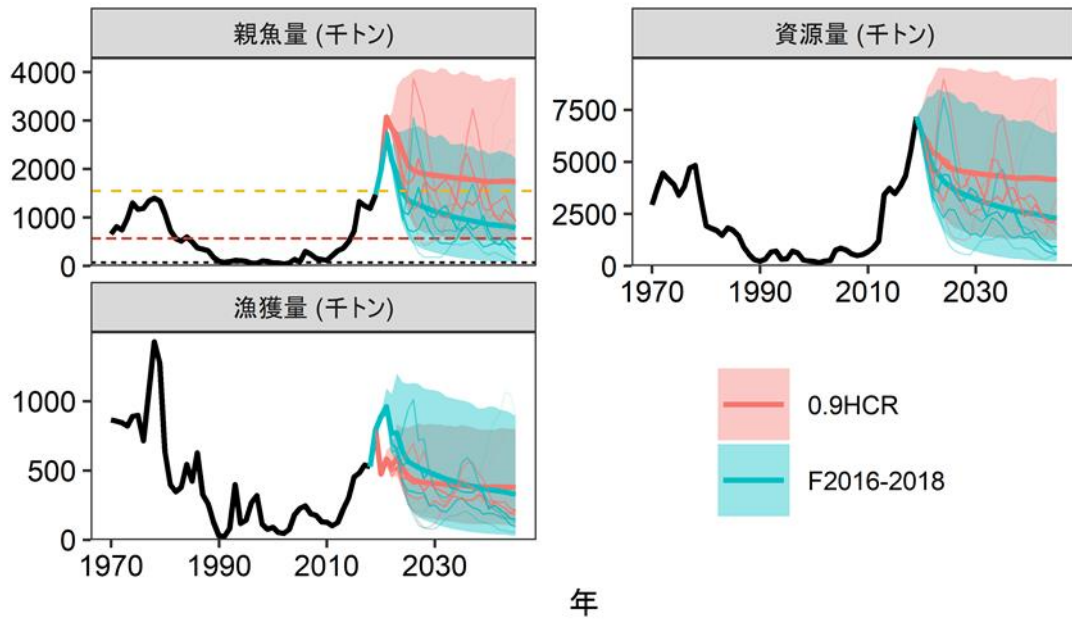
The mid and long term projection results were shown in Appendix table 8-1, 8-2 and Fig.8-2. Assuming HCR is going to be continued 10 years, expected catch in 2030 is 1,768,000 tons using $\beta=1.0$ (80% confidence limit ranged 717,000-3,091,000 tons), and 1,870,000 tons using $\beta=0.9$ (80% confidence limit ranged 780,000-3,239,000 tons).

The probability of SB above SBtarget is 47% using $\beta=1.0$, and 52% using $\beta=0.9$. The probability of SB above SBlimit is 96% using $\beta=1.0$, and 97% using $\beta=0.9$. The probabilities above SBban are 100% in all cases.

Uncertainty considered: Recruitment					
Items	predicted SSB in 2030 (thousands tons)	80% confidence limits (thousands tons)	Probability of SSB above References below (%)		
			SBtarget	SBlimit	SBban
Fishing pressure scientifically suggested					
$\beta=0.9$	1,870	780-3,239	52	97	100
Other suggested catch (using different β in HCR)					
$\beta=1.0$	1,768	717-3,091	47	96	100
$\beta=0.8$	1,981	845-3,408	56	98	100
$\beta=0.6$	2,229	1,000-3,772	65	99	100
$\beta=0.4$	2,531	1,176-4,237	76	100	100
$\beta=0.2$	2,913	1,406-4,807	86	100	100
$\beta=0$	3,418	1,718-5,550	94	100	100
F2016-2018	1,128	353-2,144	22	75	100



Appendix Figure 8-1. HCR for chub mackerel Pacific stock. Current fishing pressure is F2016-2018. The value of β is 0.9.



Appendix Figure 8-2. Comparison of the projection results between HCR adapted case and to keep Fishing pressure F2016-2018 case. The bold line indicates average values, shadow zone shown 80% confidence limits, solid lines are some example of projection. The yellow dotted line indicates SBtarget, red dotted is SBlimit, and Black dotted is SBban, respectively. The catch in 2019 was predicted 794,000 tons by Fcurrent (F2016-2018) and $\beta=0.9$ of HCR. The Fcurrent (F2016-2018) was determined by assuming %SPR at average F during 2016-2018 is equal to the %SPR at Fmsy.

Explanation of figure: Top left is projection of SSB (thousands tons), top right is stock biomass, and bottom left is catch projection.

Appendix 9 Stock projection method

Based on the abundance estimate obtained, we conducted future projection of the stock by applying the HCR. For the projection of the recruitment of the assessment year (2019 fishing season), we applied a value which the projected recruitment was updated by the recruitment indices of that year using Bayes theorem, which the detail is as follows. At first, we set a prior distribution for the recruitment in 2019 (R_{2019}) based on the Hockey-stick S-R relationship. For the estimation of the parameters of the S-R relationship, we used the SSB and recruitment based on the stock assessment conducted in 2018, which uses least-squares method as optimization method, and considers autocorrelation of the recruitment residuals (for details see Nishijima *et al.* 2019). The residuals between the projected value from the S-R relationship and recruitment and SSB in 2018 (29.2 billion in numbers, and 1.185 million tons respectively) is estimated from equation (11), resulting in a value of 1.29.

$$\begin{aligned} \varepsilon_{2018} = \ln R_{2018} \\ - \ln[f(a, b, SSB_{2018})] = 1.29 \end{aligned} \quad (11)$$

$f(a, b, SSB)$ is defined as follows:

$$f(a, b, SSB) = \begin{cases} a \times SSB & \text{if } SSB < b \\ a \times b & \text{otherwise} \end{cases} \quad (12)$$

where, $a=7.578$ (thousand in numbers/ton) and $b=1.056$ (thousand tons) (Nishijima *et al.* 2019). Based on these values and the estimated SSB in 2019 (1.471 million tons), the recruitment in 2019 based on the S-R relationship is estimated by the following equation:

$$\hat{R}_{2019,0} = f(a, b, SSB_{2019}) \times \exp(\rho \times \varepsilon_{2018}) \quad (13)$$

This results in an estimated recruitment of 13.02 billion in numbers. Where, ρ is the autocorrelation parameter, which is set to be 0.376. Together with this estimate and recruitment variation of $\hat{\sigma}_0^2 = 0.837^2$, the prior distribution of the recruitment in 2019 is as follows:

$$\ln(R_{2019}) \sim \text{Normal}(\ln(\hat{R}_{2019,0}), \hat{\sigma}_0^2) \quad (14)$$

Next, the likelihoods of the two recruitment indices (normalized CPUE while heading to the north, and normalized CPUE during autumn fishing season) used as the tuning indices is given by the following equation:

$$\ln(I_{k,2019}) \sim \text{Normal}(\ln(\hat{q}_k R_{2019}^{b_k}), \hat{\sigma}_k^2) \quad k = 1, 2 \quad (15)$$

This equation is as that of Appendix Table 2-1. The projected recruitment in 2019 which maximizes the posterior probability is given by the weighted average shown below (Gelman *et al.* 1995):

$$\ln(\hat{R}_{2019}) = \frac{\hat{w}_0 \ln(\hat{R}_{2019,0}) + \hat{w}_1 \ln(\hat{R}_{2019,1}) + \hat{w}_2 \ln(\hat{R}_{2019,2})}{\hat{w}_0 + \hat{w}_1 + \hat{w}_2} \quad (16)$$

where, \hat{w}_k is the weight of the prior distribution ($k=0$) and that of the recruitment indices ($k=1,2$) defined as $\hat{w}_k = 1/\hat{\sigma}_k^2$, ($\hat{w}_0 = 1.43$, $\hat{w}_1 = \hat{w}_2 = 0.91$). $\hat{R}_{2019,k}$ ($k=1,2$) is the recruitment for 2019 estimated by each recruitment indices, and is defined as $\hat{R}_k = (I_{k,2019}/\hat{q})^{\frac{1}{b_k}}$ (3.84 billion in numbers for the CPUE while heading to the north, and 6.83 billion in numbers for the CPUE during autumn fishing season). From equation (16), the weighted average of the estimated recruitment in 2019 is calculated to be $\hat{R}_{2019} = 7.72$ billion in numbers. The recruitment onwards from 2020 is projected based on the Hockey-stick S-R relationship ($a=0.00758$, $b=1056000$) suggested at the Research Institute meeting on biological reference points for the Pacific stock of Chub Mackerel in 2019. The data used for the estimation of the parameters of the S-R relationship is based on the SSB and recruitment estimated by the stock assessment in 2018, which uses least-squares method as the optimization method. For more details, please refer to ‘Technical details on the estimation of S-R relationship, calculation of the biological reference point, and the simulation of the projections’ (Meeting report of the research institutes in 2019, https://github.com/ichimomo/future-text/blob/master/technical_document.pdf).

The F used for the projection is estimated based on the HCR set for the first group of stocks (group of data rich species) which is detailed in ‘Basic guidelines for the harvest control rules and the estimation of the Allowable Biological Catch (ABC)’. The parameters used for the future projections are shown in Appendix Table 9-1. As for the selectivity and average weight of the catch, we used the values that was suggested at the research institute meeting on reference point held in 2019. As for the S-R relationship parameters, these values of selectivity and average weight of catch are based on the stock assessment of this species in 2018, and was used in the projection there. The %SPR estimated by the current fishing pressure (F2016-2018) under this selectivity was set to be same as the %SPR estimated by the average F of 2016-2018. The catch in 2019 was estimated to be 794 thousand tons based on the current fishing pressure (F2016-2018).

As for the projection of the numbers at age, we used forward calculation method for the cohort-analysis (equation 17).

$$N_{a+1,y+1} = N_{a,y} \exp(-F_{a,y} - M) \quad \text{※ when } a \leq 4 \quad (17a)$$

$$N_{6+,y+1} = (N_5 + N_{6+}) \exp(-F_{5,y} - M) \quad (17b)$$

The catch at age in numbers are calculated by equation (18) which uses the numbers at age estimated by the above equation and the F value assumed for each fishing scenarios.

$$C_{a,y} = N_{a,y} (1 - \exp(-F_{a,y})) \exp(-\frac{M}{2}) \quad (18)$$

References

Gelman, A., J. B. Carlin, H. S. Stern and D. B. Rubin (1995) Bayesian Data Analysis, Chapman & Hall/CRC.

西嶋翔太・由上龍嗣・井須小羊子・上村泰洋・古市 生 (2019) 平成 31 (2019) 年度マサバ太平洋系群の管理基準値等に関する研究機関会議報告書. http://www.fra.affrc.go.jp/shigen_hyoka/SCmeeting/2019-1/detail_masaba_p.pdf (last accessed 30 October 2019)

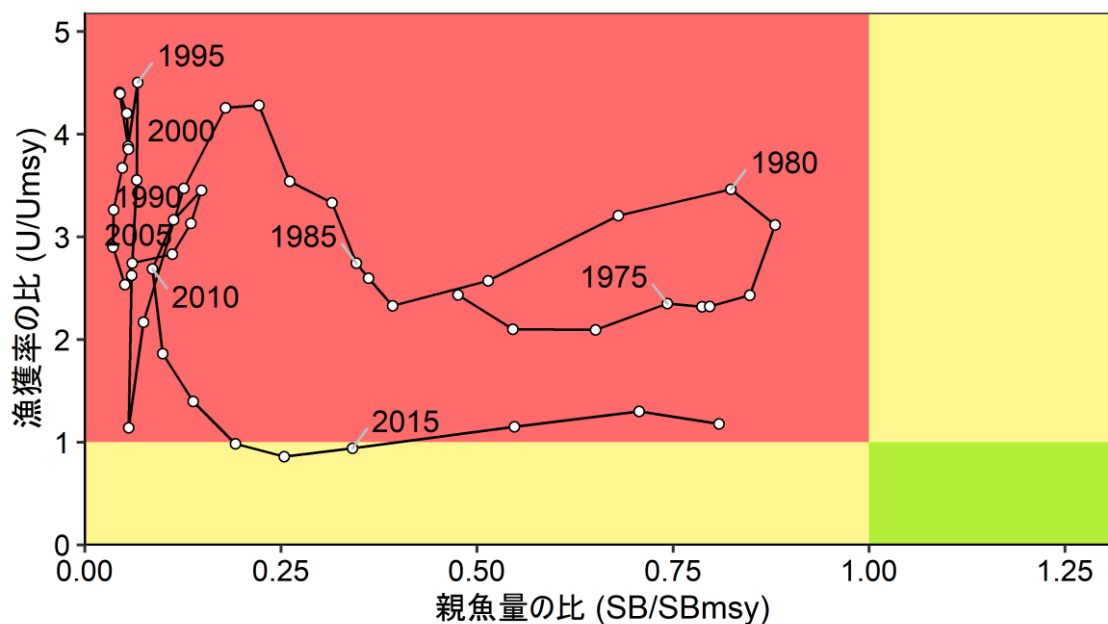
Appendix Table 9-1. Parameters used for the future projection

Age	Selectivity	Fmsy	F2016-2018	Average weight (g)	<i>M</i>	Proportion matured
0	0.04	0.02	0.03	94	0.40	0.00
1	0.14	0.05	0.09	202	0.40	0.00
2	0.29	0.10	0.18	264	0.40	0.20
3	0.53	0.18	0.33	316	0.40	0.80
4	0.55	0.19	0.34	349	0.40	1.00
5	1.00	0.35	0.62	529	0.40	1.00
6+	1.00	0.35	0.62	645	0.40	1.00

Appendix 10 Kobe plot based on fishing proportion

Below shows a Kobe plot based on the SSB and its corresponding fishing rate (U). The SSB for the entire period considered is below the level which attains MSY. The ratio of the fishing rate (U/Umsy) during the 1970s to the 2000s were higher than that which attains MSY except for year 1991; however, since 2013, the ratio is around the level which attains MSY.

Item	Suggested value	Remarks
SBmsy	1.545 million tons	SSB that attains MSY
Umsy	10%	Fishing rate that attains MSY
U2018	9%	Fishing rate in 2018
U2018/ Umsy	0.965	Ratio of the fishing rate in 2018 to that which attains MSY



Appendix Figure 10-1. The relationship between past SSB and fishing rate to that which gives MSY (SBmsy and Umsy) (Kobe plot). The fishing rate and SSB is the three year moving average. For year 2015, it is the average of 2013-2015.